

# UNIVERSITY OF CALIFORNIA



## ***CALL FOR PROPOSALS AND GUIDELINES Academic Year 2010-2011***

***Campus Review Required  
Deadlines Vary  
See Last Page of this Publication***

### ***PACIFIC RIM RESEARCH PROGRAM***

***Administered by the Center for Global, International, and Regional Studies***

University of California, Santa Cruz

1156 High Street

303 Social Sciences 1

Santa Cruz, CA 95064

***Telephone: 831.459.2833 • Fax: 831.459.5900 • Email: [pacrim@ucsc.edu](mailto:pacrim@ucsc.edu)***

***Also available at: <http://pacrim.ucsc.edu/>***

**PACIFIC RIM RESEARCH PROGRAM  
Executive Committee 2010-2011**

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Department of History  
Santa Cruz

**BERKELEY CAMPUS**

Professor Daniel O'Neill  
East Asian Languages and Cultures

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Family and Community Medicine

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Professor Barry Naughton  
Graduate School of International Relations and Pacific Studies

**SANTA BARBARA CAMPUS**

Professor Sabine Frühstück  
Department of East Asian Languages and Cultural Studies

**SANTA CRUZ CAMPUS**

Professor Anna Tsing  
Department of Anthropology

**AGRICULTURE AND NATURAL RESOURCES**

Professor Edwin Grosholz  
Environmental Science and Policy  
Davis

## **I. INTRODUCTION**

The University of California (UC) Pacific Rim Research Program (PRRP) supports collaborative research by UC faculty, graduate students, and their colleagues at other institutions. Inaugurated in 1986 as part of a University-wide Pacific Rim initiative, it was renewed in 2009 as a Multicampus Research Project/Initiative (MRPI). More than 700 projects have been funded since the inception of the Pacific Rim Research Program. More than 500 University of California faculty, 200 graduate students, and well over 350 scholars from institutions on both sides of the Pacific and in both northern and southern hemispheres have worked under PRRP auspices.

Funded by the Office of the President and administered at UC Santa Cruz, the PRRP is governed by an Executive Committee of faculty representatives from all UC campuses. In 2010-2011 it will offer competitive grants totaling approximately \$450,000.

## **II. SCOPE**

The Pacific Rim Research Program promotes the study of the Pacific Rim as a distinctive region. For the purposes of this Program, the term "Pacific Rim" encompasses all areas and nations that border the Pacific Ocean, including Southeast Asia and East Asia, Australia/New Zealand, the Pacific islands, and Pacific Latin America. The states and nations bordering the Pacific Ocean are densely linked by patterns of historical contact, geology, trade, investment, international agreements and conflicts, migration, environmental and disease vectors, and the incessant flow of ideas and cultural practices.

The Program places priority on research that is new, specific to the region, and collaborative -- reaching across national boundaries and bridging academic disciplines. Proposals may come from any discipline in the humanities, social sciences, natural sciences, public health, or some combination thereof. Research may focus on humans in relationship to the built or natural environment, transcultural and historical constructions of the human, human-nonhuman interactions, cultural geography, transnational migrations, economic expansion, regional agricultural development, environmental health and human disease, or other themes. Proposals should address questions that contribute to an understanding of the Pacific Rim region as a whole.

## **III. CRITERIA FOR AWARDS**

Proposals will be evaluated for scholarly merit, originality, purpose, relationship to existing research, theoretical framework, methodology, qualifications and role of each investigator and collaborator, adequacy of available resources, justification for the budget, anticipated scholarly products, tentative schedule, and

plan for dissemination of the research results.

In addition to these features, successful proposals ordinarily include the following:

- Investigation across national, cultural, linguistic, and/or regional boundaries;
- Focus on interactions, flows, or major issues affecting the Pacific Rim region;
- For faculty proposals, collaboration of scholars in different countries and where appropriate, different disciplines. For graduate students, international collaboration will often be in the form of institutional affiliation abroad or faculty sponsorship in the host country.

Multi-disciplinary, multi-regional research is encouraged. Studies of single countries may be funded if the proposal articulates broader regional significance. "Collaboration" is broadly defined and need not be required in all aspects of the research. Collaborative projects should be aimed at facilitating, whenever possible, long-term ties between the UC, institutions, and individuals throughout the Pacific Rim. Projects should enable researchers who have not previously approached Pacific Rim subjects to interact with colleagues who are experts on regional issues.

Studies of flora, fauna, diseases, minerals, and other natural phenomena that occur in the Pacific Rim will be considered only if they significantly enhance understanding of the Pacific Rim and its peoples. Such proposals will receive more favorable consideration if they address cultural, social, or policy issues.

Prospective applicants are encouraged to discuss their proposals with campus research office staff or faculty representatives on the University-wide Executive Committee to evaluate appropriateness to the Program. The Pacific Rim Research Program does not normally support proposals focused solely on Mexico and the United States. Investigators on such proposals are encouraged to explore funding opportunities offered by the UC MEXUS program.

While every effort is made to ensure that a range of disciplines, areas, and campuses are represented on the final awards list, the final criterion for an award is the excellence of the proposal.

#### **IV. AWARD CATEGORIES**

UC faculty and staff who are eligible to be Principal Investigators on their campus may seek Faculty Initiative Grants and Faculty Research/Planning Grants.

Graduate students may apply for Advanced Graduate Research Fellowships.

All successful applicants must maintain eligibility during the life of the grant. Grants are not transferable to non-UC institutions. Each applicant may submit no more than one proposal per annual competition cycle.

## **A. FACULTY INITIATIVE GRANTS**

This is a new thematically focused grant in the range of \$30-50,000, which may be expended over a multi-year period.

For the 2010-11 grant competition, the PRRP invites Faculty Initiative Grant applications on the topic "Responses to Crisis in the Pacific Rim." The historical formation of the Pacific Rim region, and its rapid economic growth and dramatic rise over the past century, have not been peaceful. Political, social, and economic crises have occurred with some regularity in the region. More battle-related deaths have occurred in East Asia than in any other region since World War II. An arc of territory from southern Mexico through Bolivia has rarely known social stability in the past thirty years. Throughout the region, inter-state tensions are exacerbated by domestic social divisions and formidable social, health, and environmental problems. Today, the region is facing a new challenge in the global financial crisis emanating, ironically, from the developed core of the world financial system in the U.S.

The Initiative Grants will fund research that explores the impact of crisis on the Pacific region, historically and in the contemporary moment. Proposals may address the social, economic, and political roots of past or present crises, their cultural ramifications, and their multiple effects. Crisis, which can have a long historical dimension, may take the form of a catalytic event that sets in motion or accelerates a sequence of political and economic events. Crisis causes a sudden change in external conditions that requires individuals, businesses and governments to quickly change behaviors and strategies. Social crisis may cause a breakdown of the controls that limit movement of population, regulate public health, and protect the environment and economy. States may intensify their technologies of control in order to clean up after a crisis, or avert the perceived danger of a crisis. Crisis may give rise to new cultural practices and modes of expression in literature, the arts, religion, and popular politics.

All Initiative Grant proposals must involve collaboration of scholars from more than one Pacific Rim nation or territory. and may include support of graduate students. Interdisciplinary collaborations and multi-campus participation will enhance the prospects for funding. Each Initiative Grant proposal should include concrete plans for dissemination of research results and a discussion of prospects for future extramural funding. The Initiative Grant program will award 3-5 grants for 2010-2011.

## **B. FACULTY RESEARCH/PLANNING GRANTS**

This is a continuation of a very successful PRRP program, enabling the completion of focused, high-quality research efforts. Research Grants fund collaborative projects of varying sizes, up to a maximum of \$25,000. Projects must involve collaborative research, or research planning and pilot research, with colleagues in at least one Pacific Rim nation or territory, and may include support of graduate students. Awards are ordinarily granted for one year. Proposals for projects that are part of larger research programs funded by external sources should specify the programmatic and funding relationship between the proposed research and the project(s) already funded. The Research Grant program will award 4-6 grants for 2010-2011.

## **C. ADVANCED GRADUATE RESEARCH FELLOWSHIPS**

Advanced Graduate Research Fellowships support graduate students—the next generation of scholars—for a year of dissertation research or its equivalent.

Students may apply for a maximum of \$20,000 in funding for a year of research, with budget justification. Budget requests for less than a year of funding should be adjusted proportionally. Graduate students whose research is part of a larger group project should clearly identify their individual roles in the project. In some cases it may be more appropriate to apply under a faculty grant, which can support graduate students. The Advanced Graduate Research Fellowships program will award 10 or more grants for 2010-2011.

## **V. RESEARCH SCHEDULE**

Grants are awarded on a fiscal-year basis (July 1 - June 30). Grant recipients should consult with Program staff regarding specific scheduling questions.

## **VI. PROPOSAL SUBMISSION AND REVIEW PROCEDURES**

The Pacific Rim Research Program has a two-tier review process. In the first stage, a campus selection committee reviews proposals, offers suggestions, and selects up to eight proposals in all categories to forward to the PRRP. In the second stage, at least three members of the system-wide Executive Committee reviews, ranks, and comments on each proposal. Final awards are decided at an annual meeting of the Executive Committee.

## **A. CAMPUS REVIEW**

Proposals must be submitted online at <http://ironforge.hri.uci.edu/fastapp/pacrim/applicants/index.php> for review and preliminary selection by the appropriate campus committee. Campuses set their own deadlines and guidelines for submission of proposals. Please see the back cover of this brochure for campus deadlines. Each campus may submit a total of eight proposals for consideration by the PRRP Executive Committee, distributed as decided by the campus committee across the three granting categories. Agriculture and Natural Resources may submit up to three proposals. ANR faculty with joint appointments with a campus must submit proposals through the campus. Campus administrators may determine whether to review the proposals together or separately.

For the Program record, a final submission letter from the campus faculty representative listing all proposals chosen, including the unsuccessful ones, is due on February 16, 2010. The campus review committees should provide the Executive Committee with a summary of the proposals forwarded and a narrative explanation describing how the campus made their selections. The proposals selected for systemwide review should not be ranked.

**All proposals selected by the campuses are due in the Program Office by Tuesday, February 16, 2010.**

## **B. FINAL SELECTION PROCESS**

The Chair of the PRRP Executive Committee will assign the proposals forwarded from the campuses to the PRRP Executive Committee members for review. The Executive Committee meets in April to make final selection of proposals recommended to the Office of Research for funding. Letters informing applicants of their award status will be mailed to all applicants. Announcements are usually made in May.

## **VII. REPORTING**

Principal Investigators and Advanced Graduate Research Fellowship recipients must submit a brief report (1,500-4,000 words) on completed projects to the Program Office at the Office of the President by December 1st in the year the project is completed. The report should be suitable for dissemination to a general audience. Appended to the report should be a list of research activities, names of all participants including research assistants, copies of publications generated by the project, and a financial report. Copies of publications that appear after the reporting deadline should be submitted to the Program Coordinator when they become available.

Principal Investigators with Initiative Grants funded for two years must submit an interim report to the Program Office at the Office of the President by April 15 of the first fiscal year. The Executive Committee will review the report for satisfactory progress before the second-year funds are released.

Unexpended funds at the project's conclusion must be returned to the Program Office. Failure to submit a final report or return unexpended funds will jeopardize future grant eligibility.

The Program maintains a web archive and publishes an annual newsletter. Project results described in progress reports and final reports, as well as in publications submitted to the Program, may be published in synopsis form.

The PRRP participates in the UC California Digital Library's (CDL) e-Scholarship repository Program and welcomes submissions of working papers, articles, conference proceedings, and other scholarly materials to be electronically published under the PRRP's auspices. For more information about the repository, please refer to the CDL's website:  
<http://repositories.cdlib.org/escholarship/>.

## **VIII. PROJECT EXTENSIONS**

No-cost grant extensions can usually be approved for a period of up to one year when additional time is required to assure completion of the original project. Exceptions to the one-year maximum extension will be granted only in extraordinary cases.

Requests for no-cost grant extensions should be made in writing and submitted by email to the Program Coordinator at [pacrim@ucsc.edu](mailto:pacrim@ucsc.edu), and should include 1) reasons for the extension, 2) the requested extension period, 3) a brief progress report, and 4) a budget report.

## **IX. PROPOSAL FORMAT and INSTRUCTIONS**

### **A. FACULTY Initiative and Research /Planning Grants**

(1) Contact your campus liaison officer. (See contact list on the final page of this Call.) Each campus has its own deadline and application procedure in addition to the following.

(2) Apply online at:  
<http://ironforge.hri.uci.edu/fastapp/pacrim/applicants/index.php>

(3) Type or upload the following information in the spaces provided:

- Applicant's Title (choose one) Mr./Ms./Dr./Professor
- Applicant's Name:
- Campus:
- Department:
- Mailing Address:
- Phone:
- Fax:
- Website:
- Project Information
- Project Title:
- Project Type: (Research/Planning-Workshop/Development)
- Amount Requested: (First year) (Second year) Total:
- Project duration:
- Co-investigators:
- Collaborators:
- Budget:

A detailed budget and budget justification must be submitted with the proposal, using the worksheet provided on the online application. Pacific Rim Research Program funds may be used for direct costs and, when campus regulations require it, line items for direct administrative support of the project. The budget should adhere to the following guidelines:

a. Salary/Stipend: allowable for research assistants on faculty grants. The role of research assistants on faculty grants should be clearly defined. Funds may not be used for faculty salaries or other payments to UC faculty.

b. Travel: should include destination, purpose, number of trips, economy-class airfare, daily food and lodging expenses, and number of days covered. The applicant should make every effort to obtain the most reasonable rates. The PRRP does not use federal per diem rates.

c. Meetings/Conferences: should include purpose, number of participants, potential participants, and details of meeting-related expenses.

d. Research Supplies: may include acquisition of data (specify type of data), project-specific expendable supplies, and consulting fees (with justification and not to exceed \$500 per person) to non-UC collaborators when necessary to obtain technical services. Funding for durable general-purpose equipment such as computers and

video cameras is usually not allowed.

Budgets for two-year projects should specify expenditures for each year. Subsequent changes to the submitted budget require prior approval from the Pacific Rim Research Program (pacrim@ucsc.edu).

- Department Budget Officer Name and Contact Information:
- Campus Contracts and Grants Officer Name and Contact Information:
- Graduate Students Employed and Budget (if applicable):
- List other agencies to which you have applied, and any funds related to this project that have already been received. If you are requesting new funds for an ongoing project, provide justification for the added contribution to knowledge that could be supplied by PRRP funding. Pending applications for outside funding will not influence PRRP decisions to fund a project.
- Project Abstract: (200-word limit)
- Project Narrative:

Description of the project, maximum 2,000 words. Project descriptions for **Faculty Initiative or Research/Planning Grants** should describe the purpose of the proposed project, relationship to existing research, Pacific Rim focus, theoretical framework, methodology, role of each investigator and collaborator, anticipated scholarly products, tentative schedule, and plan for dissemination of the research results. In addition, Initiative Grant applicants should specify the relationship of their research to the designated thematic focus for this year's competition. A bibliography (not included in the 2,000-word limit) should follow the project description. Proposals should be written in language accessible to non-specialists.

- Project Keywords
- Curriculum Vitae: This should include two-page resumes for named investigators, listing relevant activities and publications for the past five years only.
- Appendices: e.g. collaborators' CVs, supplementary materials, etc., (if applicable). Investigators who wish to include appendices such as questionnaires, descriptions of technical procedures, letters of endorsement, or related publications by members of the research team are encouraged to do so, but the project narrative is the primary vehicle for presenting the proposed research.
- Endorsements (if applicable): Letters of support or commitment from international collaborators must be included.
- Documentation of Compliance with Research Regulations. Applicants must ensure that the research meets all federal and state

regulations, including those concerning human and animal subjects. It is not necessary to obtain human subjects approval prior to submitting a grant application, but funds will not be released until institutional approval is secured. Applicants should consult with the Office of Research or its equivalent on their home campus to familiarize themselves with requirements.

## **B. ADVANCED GRADUATE RESEARCH FELLOWSHIP**

(1) Contact your campus liaison officer. (See contact list on the final page of this Call.) Each campus has its own deadline and application procedure in addition to the following.

(2) Apply online at:

<http://ironforge.hri.uci.edu/fastapp/pacrim/applicants/index.php>

(3) Type or upload the following information in the spaces provided:

- Applicant's Title (choose one) Mr./Ms./Dr./Professor :
- Applicant's Name:
- Campus:
- Department:
- Mailing Address:
- Phone:
- Fax:
- Website:
- Project Information
- Project Title:
- Project Type: (Research/Planning-Workshop)
- Research Area:
- Amount Requested:
- Collaborators: Letters of support or commitment from international collaborators must be included (if applicable).
- Itemized Budget:

A detailed budget and budget justification must be submitted with the proposal, using the worksheet provided on the online application. Pacific Rim Research Program funds may be used for direct costs and, when campus regulations require it, line items for direct administrative support of the project. The budget should follow the following guidelines:

a. Graduate student applicants for Advanced Graduate Research Fellowships should apply for funding to cover actual research and travel costs, rather than requesting payment as a stipend or at graduate student research (GSR) rates.

b. Student fees and non-resident tuition. Advanced Graduate Research Fellowships are intended for direct support of graduate student research; they are not intended for UC student fees and tuition. Graduate student applicants are encouraged to seek withdrawn or planned leave of absence status during extended field trips where permitted by campus regulations.

c. Benefits (e.g., medical insurance): allowable for graduate students when not reimbursed by campus.

d. Travel: should include destination, purpose, number of trips, economy-class airfare, daily food and lodging expenses, and number of days covered. The applicant should make every effort to obtain the most reasonable rates. The PRRP does not use federal per diem rates.

e. Meetings/Conferences: should include purpose, number of participants, potential participants, and details of meeting-related expenses. Conference travel to professional meetings to disseminate research is not eligible for funding.

f. Research Supplies: may include acquisition of data (specify type of data), project-specific expendable supplies, and consulting fees (with justification and not to exceed \$500 per person) to non-UC collaborators when necessary to obtain technical services. Funding for durable general-purpose equipment such as computers and video cameras is usually not allowed. Research assistance is not allowed on advanced graduate research grants.

Other funding applied for or received from foundations and collaborating institutions, including amount of the grant, must be listed.

- Department Budget Officer Name and Contact Information:
- Campus Contracts and Grants Officer Name and Contact Information:
- Other Sources of Support (if applicable). List other agencies to which you have applied, and any funds related to this project that have already been received. If you are requesting new funds for an ongoing project, provide justification for the added contribution to knowledge that could be supplied by PRRP funding. Pending applications for outside funding will not influence PRRP decisions to fund a project.
- Project Abstract: (200-word limit)
- Project Narrative:

Description of the project, maximum 2,000 words. Project descriptions for **Advanced Graduate Research Fellowships** should describe the purpose of the proposed project, relationship to existing research, Pacific Rim focus, theoretical framework, methodology, role of each investigator

and collaborator, anticipated scholarly products, tentative schedule, and plan for dissemination of the research results. A bibliography (not included in the 2,000-word limit) should follow the project description. Proposals should be written in language accessible to non-specialists.

- Project Keywords:
- Curriculum Vitae: This should include two-page resumes for named investigators, listing relevant activities and publications for the past five years only.
- Transcripts: graduate student's most recent transcript
- Appendices: Investigators who wish to include appendices such as questionnaires, descriptions of technical procedures, letters of endorsement, or related publications by members of the research team are encouraged to do so, but the project narrative is the primary vehicle for presenting the proposed research.
- Endorsements:  
A letter of support from the student's faculty advisor evaluating the soundness and originality of the project, the work and potential of the graduate student applicant, and the likelihood that the student will advance to candidacy prior to the grant period. Additional letters of support from faculty on the student's dissertation committee are also welcome. Applicants should supply the faculty member's email address. S/he will be automatically prompted to submit the letter electronically.
- Documentation of Compliance with Research Regulations:  
Applicants must ensure that the research meets all federal and state regulations, including those concerning human and animal subjects. It is not necessary to obtain human subjects approval prior to submitting a grant application, but funds will not be released until institutional approval is secured. Applicants should consult with the Office of Research or its equivalent on their home campus to familiarize themselves with requirements.

**PROPOSAL SUBMISSION DEADLINES FOR CAMPUS REVIEW**

(established by campus)

**Please contact the campus liaison office listed below  
for campus-specific application procedures.**

<p align="center"><b>BERKELEY</b>  <b>DEADLINE: November 30, 2009</b>  <b>CAMPUS CONTACT: Sponsored Projects Office</b>                  2150 Shattuck Avenue, Suite 313                  510/642-0120  <b>Required campus procedures:</b>  <a href="http://www.spo.berkeley.edu/Fund/limited.html#pacrim">www.spo.berkeley.edu/Fund/limited.html#pacrim</a></p>	<p align="center"><b>DAVIS</b>  <b>DEADLINE: November 17, 2009</b>  <b>CAMPUS CONTACT: Sheryl Soucy-Lubell or Erin Houston</b>                  Office of Research, Limited Submissions                  1850 Research Park, Suite 300                  530/754-7828 • <a href="mailto:srlubell@ucdavis.edu">srlubell@ucdavis.edu</a>                  or <a href="mailto:echouston@ucdavis.edu">echouston@ucdavis.edu</a>  <a href="http://research.ucdavis.edu/limitedsubmissions">research.ucdavis.edu/limitedsubmissions</a></p>
<p align="center"><b>IRVINE</b>  <b>DEADLINE: December 4, 2009</b>  <b>CAMPUS CONTACT: Marie Richman</b>                  Office of Research                  160 Aldrich Hall                  949/824-2898 • <a href="mailto:richman@uci.edu">richman@uci.edu</a></p>	<p align="center"><b>LOS ANGELES</b>  <b>DEADLINE: December 11, 2009</b>  <b>CAMPUS CONTACT: Elizabeth Leicester</b>                  Asia Institute, 11288 Bunche Hall                  310/825-0007 <a href="mailto:eleicester@international.ucla.edu">eleicester@international.ucla.edu</a>  <a href="http://www.international.ucla.edu/asia">www.international.ucla.edu/asia</a></p>
<p align="center"><b>MERCED</b>  <b>DEADLINE: January 8, 2010</b>  <b>CAMPUS CONTACT: Thea Vicari</b>                  Sponsored Project Office                  4225 N. Hospital Rd, Atwater, CA 95303                  209/228-4318 • <a href="mailto:tvicari@ucmerced.edu">tvicari@ucmerced.edu</a></p>	<p align="center"><b>RIVERSIDE</b>  <b>DEADLINE: December 18, 2009</b>  <b>CAMPUS CONTACT: Jane Schultz</b>                  Office of Research                  200 University Office Building                  951/827-7986 • <a href="mailto:Jane.schultz@ucr.edu">Jane.schultz@ucr.edu</a></p>
<p align="center"><b>SAN DIEGO</b>  <b>DEADLINE: January 8, 2010</b>  <b>CAMPUS CONTACTS:</b>  <b>Jason DeFay (for faculty)</b>                  Office of Research Affairs                  858/534-3556 • <a href="mailto:jdefay@ucsd.edu">jdefay@ucsd.edu</a>  <b>Linda Vong (for students)</b>                  Office of Graduate Studies                  858/822-2938 • <a href="mailto:lkvong@ucsd.edu">lkvong@ucsd.edu</a></p>	<p align="center"><b>SAN FRANCISCO</b>  <b>DEADLINE: December 1, 2009</b>  <b>CAMPUS CONTACT: Yasmin Sidi</b>                  Office of Sponsored Research                  3333 California St. Suite 320                  415/502-5213 • <a href="mailto:yasmin.sidi@ucsf.edu">yasmin.sidi@ucsf.edu</a></p>
<p align="center"><b>SANTA BARBARA</b>  <b>DEADLINE: November 20, 2009</b>  <b>CAMPUS CONTACT: Barbara Walker</b>                  Research Development                  2201 North Hall                  805/893-3576 • <a href="mailto:blewalker@isber.ucsb.edu">blewalker@isber.ucsb.edu</a>  <a href="http://www.research.ucsb.edu/resources/documents/limitedsub/current/PacRim.pdf">www.research.ucsb.edu/resources/documents/limitedsub/current/PacRim.pdf</a></p>	<p align="center"><b>SANTA CRUZ</b>  <b>DEADLINE: January 4, 2010</b>  <b>CAMPUS CONTACT: Lisa Nishioka</b>                  CGIRS                  303 Social Sciences 1                  831/459 2833 • <a href="mailto:global@ucsc.edu">global@ucsc.edu</a></p>

**ANR**  
**DEADLINE: February 1, 2010**  
**CAMPUS CONTACT: Lynn Deetz**  
 Office of Contracts & Grants  
 Division of Agriculture and Natural Resources  
 One Shields Avenue  
 530/752-7875 • [Lynn.Deetz@ucop.edu](mailto:Lynn.Deetz@ucop.edu)