

UNIVERSITY OF CALIFORNIA



CALL FOR PROPOSALS AND GUIDELINES ***Academic Year 2012-2013***

Campus Review Required
Deadlines Vary
See Last Page of this Publication

PACIFIC RIM RESEARCH PROGRAM

Administered by the Center for Global, International, and Regional Studies

University of California, Santa Cruz

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**PACIFIC RIM RESEARCH PROGRAM
Executive Committee 2011-2012**

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UCLA Public Health; Community Health Sciences

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SANTA BARBARA CAMPUS

Professor Yunte Huang
Department of English

SANTA CRUZ CAMPUS

Professor Anna Tsing
Department of Anthropology

AGRICULTURE AND NATURAL RESOURCES

Professor Edwin Grosholz
Department of Environmental Science and Policy

I. INTRODUCTION

The University of California (UC) Pacific Rim Research Program (PRRP) supports collaborative research by UC faculty, graduate students, and their colleagues at other institutions. Inaugurated in 1986 as part of a University-wide Pacific Rim initiative, it was renewed in 2009 as a Multicampus Research Project/Initiative (MRPI). More than 700 projects have been funded since the inception of the Pacific Rim Research Program. More than 500 University of California faculty, 200 graduate students, and well over 350 scholars from institutions on both sides of the Pacific and in both northern and southern hemispheres have worked under PRRP auspices.

Funded by the Office of the President and administered at UC Santa Cruz, the PRRP is governed by an Executive Committee of faculty representatives from all UC campuses. The 2012-2013 competition will offer grants totaling approximately \$450,000.

II. SCOPE

The Pacific Rim Research Program promotes the study of the Pacific Rim as a distinctive region. For the purposes of this Program, the term "Pacific Rim" encompasses all areas and nations that border the Pacific Ocean, including Southeast Asia and East Asia, Australia/New Zealand, the Pacific islands, and Pacific Latin America. The states and nations bordering the Pacific Ocean are densely linked by patterns of historical contact, geology, trade, investment, international agreements and conflicts, migration, environmental and disease vectors, and the incessant flow of ideas and cultural practices.

The Program places priority on research that is new, specific to the region, and collaborative -- reaching across national boundaries and bridging academic disciplines. Proposals may come from any discipline in the humanities, social sciences, natural sciences, public health, or some combination thereof. Research may focus on humans in relationship to the built or natural environment, transcultural and historical constructions of the human, human-nonhuman interactions, cultural geography, transnational migrations, economic expansion, regional agricultural development, environmental health and human disease, or other themes. Proposals should address questions that contribute to an understanding of the Pacific Rim region as a whole.

III. CRITERIA FOR AWARDS

Proposals will be evaluated for scholarly merit, originality, purpose, relationship to existing research, theoretical framework, methodology, qualifications and role of each investigator and collaborator, adequacy of available resources, justification for the budget, anticipated scholarly products, tentative schedule, and

plan for dissemination of the research results.

In addition to these features, successful proposals ordinarily include the following:

- Investigation across national, cultural, linguistic, and/or regional boundaries;
- Focus on interactions, flows, or major issues affecting the Pacific Rim region;
- For faculty proposals, collaboration of scholars in different countries and where appropriate, different disciplines. For graduate students, international collaboration will often be in the form of institutional affiliation abroad or faculty sponsorship in the host country.

Multi-disciplinary, multi-regional research is encouraged. Studies of single countries may be funded if the proposal articulates broader regional significance. “Collaboration” is broadly defined and need not be required in all aspects of the research. Collaborative projects should be aimed at facilitating, whenever possible, long-term ties between the UC, institutions, and individuals throughout the Pacific Rim. Projects should enable researchers who have not previously approached Pacific Rim subjects to interact with colleagues who are experts on regional issues.

Studies of flora, fauna, diseases, minerals, and other natural phenomena that occur in the Pacific Rim will be considered only if they significantly enhance understanding of the Pacific Rim and its peoples. Such proposals will receive more favorable consideration if they address cultural, social, or policy issues.

Prospective applicants are encouraged to discuss their proposals with campus research office staff or faculty representatives on the University-wide Executive Committee to evaluate appropriateness to the Program. The Pacific Rim Research Program does not normally support proposals focused solely on Mexico and the United States. Investigators on such proposals are encouraged to explore funding opportunities offered by the UC MEXUS program.

While every effort is made to ensure that a range of disciplines, areas, and campuses are represented on the final awards list, the final criterion for an award is the excellence of the proposal.

IV. AWARD CATEGORIES

UC faculty and staff who are eligible to be Principal Investigators on their campus may seek Faculty Initiative Grants and Faculty Research/Planning Grants.

Graduate students may apply for Advanced Graduate Research Fellowships.

All successful applicants must maintain eligibility during the life of the grant. Grants are not transferable to non-UC institutions. Each applicant may submit no more than one proposal per annual competition cycle.

A. FACULTY INITIATIVE GRANTS

This is a focused grant up to \$50,000, which may be expended over a multi-year period.

For the 2012-13 grant competition, the PRRP invites Faculty Initiative Grant applications on the topic “Responses to Crisis in the Pacific Rim.” The historical formation of the Pacific Rim region, and its rapid economic growth and dramatic rise over the past century, have not been peaceful. Political, social, and economic crises have occurred with some regularity in the region. More battle-related deaths have occurred in East Asia than in any other region since World War II. An arc of territory from southern Mexico through Bolivia has rarely known social stability in the past thirty years. Throughout the region, inter-state tensions are exacerbated by domestic social divisions and formidable social, health, and environmental problems. Today, the region is facing a new challenge in the global financial crisis emanating, ironically, from the developed core of the world financial system in the U.S.

The Initiative Grants will fund research that explores the impact of crisis on the Pacific region, historically and in the contemporary moment. Proposals may address the social, economic, and political roots of past or present crises, their cultural ramifications, and their multiple effects. Crisis, which can have a long historical dimension, may take the form of a catalytic event that sets in motion or accelerates a sequence of political and economic events. Crisis causes a sudden change in external conditions that requires individuals, businesses and governments to quickly change behaviors and strategies. Social crisis may cause a breakdown of the controls that limit movement of population, regulate public health, and protect the environment and economy. States may intensify their technologies of control in order to clean up after a crisis, or avert the perceived danger of a crisis. Crisis may give rise to new cultural practices and modes of expression in literature, the arts, religion, and popular politics.

All Initiative Grant proposals must involve collaboration of scholars from more than one Pacific Rim nation or territory, and may include support of graduate students. Interdisciplinary collaborations and multi-campus participation will enhance the prospects for funding. Each Initiative Grant proposal should include concrete plans for dissemination of research results and a discussion of prospects for future extramural funding. The Initiative Grant program will award up to 5 grants for 2012-2013.

B. FACULTY RESEARCH/PLANNING GRANTS

This is a continuation of a very successful PRRP program, enabling the completion of focused, high-quality research efforts. Research Grants fund collaborative projects of varying sizes, up to a maximum of \$25,000. Projects must involve collaborative research, or research planning and pilot research, with colleagues in at least one Pacific Rim nation or territory, and may include support of graduate students. Awards are ordinarily granted for one year. Proposals for projects that are part of larger research programs funded by external sources should specify the programmatic and funding relationship between the proposed research and the project(s) already funded. The Research/Planning Grant program will award 4-6 grants for 2012-2013.

C. ADVANCED GRADUATE RESEARCH FELLOWSHIPS

Advanced Graduate Research Fellowships support graduate students—the next generation of scholars—for a year of dissertation research or its equivalent.

Students may apply for a maximum of \$20,000 in funding for a year of research, with budget justification. Budget requests for less than a year of funding should be adjusted proportionally. Graduate students whose research is part of a larger group project should clearly identify their individual roles in the project. In some cases it may be more appropriate to apply under a faculty grant, which can support graduate students. The Advanced Graduate Research Fellowships program will award 10 or more grants for 2012-2013.

V. RESEARCH SCHEDULE

Grants are awarded on a fiscal-year basis (July 1 - June 30). Grant recipients should consult with Program staff regarding specific scheduling questions.

VI. PROPOSAL SUBMISSION AND REVIEW PROCEDURES

The Pacific Rim Research Program has a two-tier review process. In the first stage, a campus committee reviews proposals, offers suggestions, and selects up to eight proposals to forward to the PRRP. In the second stage, at least three members of the system-wide PRRP Executive Committee reviews, ranks, and comments on each proposal. Final awards are decided at an annual meeting of the Executive Committee.

A. CAMPUS REVIEW

Proposals must be submitted by e-mail to the respective campus Liaison Officer for review and preliminary selection by the campus review committee.

Campuses set their own deadlines and guidelines for submission of proposals. Please see the back cover of this brochure for campus deadlines and liaison contacts. Each campus may submit a total of eight proposals for consideration by the PRRP Executive Committee, distributed as decided by the campus committee across the three granting categories. Agriculture and Natural Resources may submit up to three proposals. ANR faculty with joint appointments with a campus must submit proposals through the campus. Campus administrators may determine whether to review the proposals together or separately.

For the Program record, a final selection letter from the campus faculty representative listing all proposals chosen, including the unsuccessful ones, is due on January 13, 2012 or as soon as their committee's selection is final. The campus review committees should provide the Executive Committee with a summary of the proposals forwarded and a narrative explanation describing how the campus made their selections. The proposals selected for systemwide review should not be ranked.

The Program office will then contact each applicant selected by their campus with instructions regarding our web submission process.

All campus selected proposals will be due online by Friday, February 17, 2012.

B. FINAL SELECTION PROCESS

The Chair of the PRRP Executive Committee will assign the proposals forwarded from the campuses to the PRRP Executive Committee members for review. The Executive Committee meets in April to make final selection of proposals recommended to the Office of Research for funding. Letters informing applicants of their award status will be e-mailed to all applicants. Announcements are usually made in mid-May.

VII. REPORTING

Principal Investigators and Advanced Graduate Research Fellowship recipients must submit a brief report (1,500-4,000 words) on completed projects to the Program Office at the Office of the President by December 1st in the year the project is completed. The report should be suitable for dissemination to a general audience. Appended to the report should be a list of research activities,

names of all participants including research assistants, copies of publications generated by the project, and a brief financial report. Copies of publications that appear after the reporting deadline should be submitted to the Program Coordinator when they become available.

Principal Investigators with Initiative Grants funded for two years must submit an interim report to the Program Office at the Office of the President by April 15 of the first fiscal year. The Executive Committee will review the report for satisfactory progress before the second-year funds are released.

Unexpended funds at the project's conclusion must be returned to the Program Office. Failure to submit a final report or return unexpended funds will jeopardize future grant eligibility.

The Program maintains a web archive and may publish an annual newsletter. Project results described in progress reports and final reports, as well as in publications submitted to the Program, may be published in synopsis form.

The PRRP participates in the UC California Digital Library's (CDL) e-Scholarship repository Program and welcomes submissions of working papers, articles, conference proceedings, and other scholarly materials to be electronically published under the PRRP's auspices. For more information about the repository, please refer to the CDL's website: <http://repositories.cdlib.org/escholarship/>.

VIII. PROJECT EXTENSIONS

No-cost grant extensions can usually be approved for a period of up to one year when additional time is required to assure completion of the original project. Exceptions to the one-year maximum extension will be granted only in extraordinary cases.

Requests for no-cost grant extensions should be made in writing and submitted by email to the Program Coordinator at pacrim@ucsc.edu, and should include 1) reasons for the extension, 2) the requested extension period, 3) a brief progress report, and 4) a budget report.

IX. PROPOSAL FORMAT

A. FACULTY Initiative and Research/Planning Grants

Contact your campus liaison officer and note the **campus specific deadline** for the campus committee review. The contact list and deadlines are on the final page of this Call.

Submit the following items via e-mail to the campus liaison officer:

- Cover sheet including:
 - Applicant's Title (Mr., Ms., Dr., Professor):
 - Applicant's Name:
 - Department:
 - E-mail address:
 - Project Title:
 - Grant type:
 - Requested amount:
- narrative proposal
- itemized budget
- narrative budget
- CV (2 page limit)
- CV's of other collaborators (2 page limit)
- letters of support
- Other funding applied to or forthcoming

Narrative Proposal: Project descriptions for Faculty Initiative or Research/Planning Grants should describe the purpose of the proposed project, relationship to existing research, Pacific Rim focus, theoretical framework, methodology, role of each investigator and collaborator, anticipated scholarly products, tentative schedule, and plan for dissemination of the research results. In addition, Initiative Grant applicants should specify the relationship of their research to the designated thematic focus for this year's competition. A bibliography (not included in the 2,000-word limit) should follow the project description. Proposals should be written in language accessible to non-specialists.

Budget: A detailed budget and budget justification must be submitted with the proposal. Pacific Rim Research Program funds may be used for direct costs and, when campus regulations require it, line items for direct administrative support of the project. The budget should adhere to the following guidelines:

- a. Salary/Stipend: allowable for research assistants on faculty grants. The role of research assistants on faculty grants should be clearly defined. Funds may not be used for faculty salaries or other payments to UC faculty.
- b. Travel: should include destination, purpose, number of trips, economy-class airfare, daily food and lodging expenses, and number of days covered. The applicant should make every effort to obtain the most reasonable rates. The PRRP does not use federal per diem rates.
- c. Meetings/Conferences: should include purpose, number of participants,

potential participants, and details of meeting-related expenses.

d. Research Supplies: may include acquisition of data (specify type of data), project-specific expendable supplies, and consulting fees (with justification and not to exceed \$500 per person) to non-UC collaborators when necessary to obtain technical services. Funding for durable general-purpose equipment such as computers and video cameras is usually not allowed.

Budgets for two-year projects should specify expenditures for each year. Subsequent changes to the submitted budget require prior approval from the Pacific Rim Research Program (pacrim@ucsc.edu).

Curriculum Vitae: This should include two-page resumes for named investigators, listing relevant activities and publications for the past five years only.

Appendices: e.g. collaborators' CVs, supplementary materials, etc., (if applicable). Investigators who wish to include appendices such as questionnaires, descriptions of technical procedures, letters of endorsement, or related publications by members of the research team are encouraged to do so, but the project narrative is the primary vehicle for presenting the proposed research.

Endorsements (if applicable): Letters of support or commitment from international collaborators must be included.

Documentation of Compliance with Research Regulations: Applicants must ensure that the research meets all federal and state regulations, including those concerning human and animal subjects. It is not necessary to obtain human subjects approval prior to submitting a grant application, but funds will not be released until institutional approval is secured. Applicants should consult with the Office of Sponsored Research or its equivalent on their home campus to familiarize themselves with requirements.

B. ADVANCED GRADUATE RESEARCH FELLOWSHIP

Contact your campus liaison officer and note the campus specific deadline for the campus committee review. The contact list and deadlines are on the final page of this Call.

Submit the following items via e-mail to the campus liaison officer:

- Cover sheet including:
 - Applicant's Title (Mr., Ms., Dr., Professor):
 - Applicant's Name:

Department:
E-mail address:
Project Title:
Grant type:
Requested amount:
ABD by July 1, 2012?:

- narrative proposal
- itemized budget
- narrative budget
- CV (2 page limit)
- letters of recommendation
- Other funding applied to or forthcoming
- Transcripts (unofficial copies accepted)

Narrative Proposal: Project descriptions for Advanced Graduate Research Fellowships should describe the purpose of the proposed project, relationship to existing research, Pacific Rim focus, theoretical framework, methodology, role of each investigator and collaborator, anticipated scholarly products, tentative schedule, and plan for dissemination of the research results. A bibliography (not included in the 2,000-word limit) should follow the project description. Proposals should be written in language accessible to non-specialists.

Letters of Recommendation: One letter of support is required from the student's faculty advisor evaluating the soundness and originality of the project, the work and potential of the graduate student applicant, and the likelihood that the student will advance to candidacy prior to the grant period. Additional letters of support from faculty on the student's dissertation committee are also welcome.

Budget: A detailed budget and budget justification must be submitted with the proposal, using the worksheet provided on the online application. Pacific Rim Research Program funds may be used for direct costs and, when campus regulations require it, line items for direct administrative support of the project. The budget should follow the following guidelines:

- Student fees and non-resident tuition. Advanced Graduate Research Fellowships are intended for direct support of graduate student research; they are not intended for UC student fees and tuition. Graduate student applicants are encouraged to seek withdrawn or planned leave of absence status during extended field trips where permitted by campus regulations.
- Benefits (e.g. medical insurance): allowable for graduate students when not reimbursed by campus.
- Field Research Travel: should include destination, purpose, number of trips, economy-class airfare, daily food and lodging expenses, and number

of days covered. The applicant should make every effort to obtain the most reasonable rates. The PRRP does not use federal per diem rates.

d. Meetings/Conferences: should include purpose, number of participants, potential participants, and details of meeting-related expenses. Conference travel to professional meetings to disseminate research is not eligible for funding.

e. Research Supplies: may include acquisition of data (specify type of data), project-specific expendable supplies, and consulting fees (with justification and not to exceed \$500 per person) to non-UC collaborators when necessary to obtain technical services. Funding for durable general-purpose equipment such as computers and video cameras is usually not allowed. Research assistance is not allowed on advanced graduate research grants.

PROPOSAL SUBMISSION DEADLINES FOR CAMPUS REVIEW

(established by campus)

**Please contact the campus liaison office listed below
for campus-specific application procedures.**

<p>BERKELEY DEADLINE: December 7, 2011 CAMPUS CONTACT: <i>Martin Backstrom and Jackie Jones</i> Institute of East Asian Studies University of California, Berkeley 2223 Fulton St., 6th Floor 510/642-2815 • backstrom@berkeley.edu</p>	<p>DAVIS DEADLINE: December 2, 2011 CAMPUS CONTACT: <i>Kassie Obelleiro</i> Office of Research, Limited Submissions 1850 Research Park, Suite 300 530/754-7828 • limsubmissions@ad3.ucdavis.edu research.ucdavis.edu/pgc/fo/ls</p>
<p>IRVINE DEADLINE: December 2, 2011 CAMPUS CONTACT: <i>Jill Yonago Kay</i> Office of Research 160 Aldrich Hall 949/824-1410 • jill.kay@uci.edu</p>	<p>LOS ANGELES DEADLINE: December 9, 2011 CAMPUS CONTACT: <i>Elizabeth Leicester</i> Asia Institute, 11288 Bunche Hall 310/825-0007 • eleicester@international.ucla.edu www.international.ucla.edu/asia</p>
<p>MERCED DEADLINE: November 18, 2011 CAMPUS CONTACT: <i>Jennifer Teixeira</i> Sponsored Project Office 4225 N. Hospital Rd, Atwater, CA 95303 209/228-7832 • jteixeira2@ucmerced.edu</p>	<p>RIVERSIDE DEADLINE: December 1, 2011 CAMPUS CONTACT: <i>Gloria Gallego</i> Office of Research 200 University Office Building 951/827-4800 • gloria.gallego@ucr.edu</p>
<p>SAN DIEGO DEADLINE: DECEMBER 1, 2011 CAMPUS CONTACT: <i>Sharon Franks and Zoe Ziliak Michel</i> 858/822-2938 • sfranks@ucsd.edu, gradadvisor@ucsd.edu</p>	<p>SAN FRANCISCO DEADLINE: November 16, 2011 CAMPUS CONTACT: <i>Gail Fisher</i> Office of Sponsored Research 3333 California St. Suite 320 415/502-5213 • Gail.Fisher@ucsf.edu</p>
<p>SANTA BARBARA DEADLINE: January 6, 2012 CAMPUS CONTACT: <i>Barbara Walker</i> Research Development 2201 North Hall 805/893-3576 • blewalker@isber.ucsb.edu</p>	<p>SANTA CRUZ DEADLINE: December 2, 2011 CAMPUS CONTACT: <i>Lisa Nishioka</i> CGIRS 831/459-2833 • pacrim@ucsc.edu</p>

ANR
DEADLINE: December 9, 2011
CAMPUS CONTACT: *Bernadine Smith*
ANR Building, Hopkins Road
ANR Office of Contracts & Grants
530/752-7875 • bersmith@ucdavis.edu